

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re Robert Romanoff  
Debtor

Case No. 13-40002  
Reporting Period: 02/01/14-02/28/14

Social Security # 5222  
(last 4 digits only)

**MONTHLY OPERATING REPORT  
(INDIVIDUAL WAGE EARNERS)**

**File with the Court and submit a copy to the United States Trustee within 20 days after the end of the month and submit a copy of the report to any official committee appointed in the case.**

*(Reports for Rochester and Buffalo Divisions of Western District of New York are due 15 days after the end of the month, as are the reports for Southern District of New York.)*

REQUIRED DOCUMENTS	Form No.	Document Attached	Explanation Attached
Schedule of Cash Receipts and Disbursements	MOR-1 (INDV)	x	
Bank Reconciliation (or copies of debtor's bank reconciliations)	MOR-1 (CONT)	x	
Copies of bank statements		x	
Disbursement Journal	MOR-2 (INDV)	x	
Balance Sheet	MOR-3 (INDV)		
Copies of tax returns filed during reporting period		n/a	
Summary of Unpaid Post-petition Debts	MOR-4 (INDV)	x	
Status of Secured Notes, Leases, Installment Payments	MOR-5 (INDV)	x	
Debtor Questionnaire	MOR-6 (INDV)	y	

I declare under penalty of perjury (28 U.S.C. Section 1746) that the documents attached to this report are true and correct to the best of my knowledge and belief.

Signature of Debtor Robert Romanoff

Date 3/16/14

Signature of Joint Debtor \_\_\_\_\_

Date \_\_\_\_\_

Robert Romanoff  
DebtorCase No. 13-40002  
Reporting Period: 02/01/14-02/28/14**INDIVIDUAL DEBTOR CASH RECEIPTS AND CASH DISBURSEMENTS**

(This Form must be submitted for each bank account maintained by the Debtor)

Amounts reported should be per the debtor's books, not the bank statement. The beginning cash should be the ending cash from the prior month or, if this is the first report, the amount should be the balance on the date the petition was filed. Attach the bank statements and a detailed list of all disbursements made during the report period that includes the date, the check number, the payee, the transaction description, and the amount. A bank reconciliation must be attached for each account. [See MOR-1 (CONT)]

	Current Month Actual	Cumulative Total to Date Actual
<b>Cash - Beginning of Month</b>	9,456.33	
<b>RECEIPTS</b>		-
Wages (Net)		-
<b>Interest and Dividend Income</b>		-
Alimony and Child Support		-
Social Security and Pension Income		-
Sale of Assets		-
Other Income <i>(attach schedule)</i>	53,500.00	149,431.84
<b>Total Receipts</b>	53,500.00	149,431.84
<b>DISBURSEMENTS</b>		
<b>ORDINARY ITEMS:</b>		
Mortgage Payment(s)	14,853.31	29,701.12
Rental Payment(s)		-
<b>Other Secured Note Payments</b>		-
Utilities	1,089.26	2,989.74
Insurance	4,795.00	16,835.00
Auto Expense	1,204.50	4,287.26
Lease Payments		-
IRA Contributions		-
Repairs and Maintenance	1,999.66	2,440.81
Medical Expenses	100.00	315.00
Food, Clothing, Hygiene	2,558.78	17,586.57
Charitable Contributions		-
Alimony and Child Support Payments		-
Taxes - Real Estate		-
Taxes - Personal Property		150.00
Taxes - Other <i>(attach schedule)</i>		-
Travel and Entertainment	4,889.46	5,982.10
Gifts		-
Other <i>(attach schedule)</i>	13,889.16	54,334.38
<b>Total Ordinary Disbursements</b>	45,379.13	134,621.98
<b>REORGANIZATION ITEMS:</b>		
Professional Fees		
U. S. Trustee Fees	0	650
Other Reorganization Expenses <i>(attach schedule)</i>		
<b>Total Reorganization Items</b>	0	650
<b>Total Disbursements (Ordinary + Reorganization)</b>	45,379.13	135,271.98
<b>Net Cash Flow (Total Receipts - Total Disbursements)</b>	8,120.87	14,159.86
<b>Cash - End of Month</b> <i>(Must equal reconciled bank statement)</i>	17,577.20	

Robert Romanoff  
Debtor

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**INDIVIDUAL DEBTOR CASH RECEIPTS AND CASH DISBURSEMENTS**  
(continuation sheet)

BREAKDOWN OF "OTHER" CATEGORY	Current Month Actual	Cumulative Filing to Date Actual
<b>Other Income</b>	53,500.00	146,431.84
1099 income- Absolute Electric	15,000.00	31,500.00
Rental Income	8,500.00	25,500.00
Deutsche Bank- Carlyle Group Distribution	30,000.00	58,944.64
Transfers to close out HSBC	-	5,208.09
Insurant-return of Security Deposit	-	25,200.00
misc		79.11
<b>Other Taxes</b>		
<b>Other Ordinary Disbursements</b>	13,889.16	51,839.38
storage	1,271.16	5,084.64
bank transfer		7,738.53
school fees	7,676.00	19,311.93
interest expense	3,460.00	16,250.00
computer checks		227.28
bank charges	42.00	57.00
childcare	1,440.00	3,220.00
<b>Other Reorganization Expenses</b>		

**THE FOLLOWING SECTION MUST BE COMPLETED  
DISBURSEMENTS FOR CALCULATING U.S. TRUSTEE QUARTERLY FEES:**

TOTAL DISBURSEMENTS	45,379.13	135,271.98
LESS: TRANSFERS TO OTHER DEBTOR IN POSSESSION ACCOUNT	-	1,500.00
PLUS: ESTATE DISBURSEMENTS MADE BY OUTSIDE SOURCES	-	-
TOTAL DISBURSEMENTS FOR CALCULATING U.S. TRUSTEE QUARTERLY FEES	45,379.13	133,771.98

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### BANK RECONCILIATIONS

#### Continuation Sheet for MOR-1

A bank reconciliation must be included for each bank account. The debtor's bank reconciliation may be substituted for this page.  
(Bank account numbers may be redacted to last four numbers.)

	Operating #6082	Payroll #	Tax #	Other
<b>BALANCE PER BOOKS</b>	17,577.20		1,500.00	
<b>BANK BALANCE</b>	18,157.32		1,500.00	
(+) DEPOSITS IN TRANSIT ( <i>ATTACH LIST</i> )	-		-	
(-) OUTSTANDING CHECKS ( <i>ATTACH LIST</i> ):	580.12		-	
OTHER ( <i>ATTACH EXPLANATION</i> )	-		-	
<b>ADJUSTED BANK BALANCE *</b>	17,577.20		1,500.00	

\*"Adjusted Bank Balance" must equal "Balance per Books"

DEPOSITS IN TRANSIT	Date	Amount	Date	Amount
n/a				
CHECKS OUTSTANDING	Ck. #	Amount	Ck. #	Amount
ny ear eye infirmary	1062	100.00		
holy cross	1063	480.12		
		580.12		

#### OTHER

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**DISBURSEMENT JOURNAL**  
**CASH DISBURSEMENTS xx6158**

Date	Payee	Purpose	Amount
	NONE		
Total Cash Disbursements			-

**BANK ACCOUNT DISBURSEMENTS**

Date	Payee	Purpose	Amount	Check #
2/1/2014	PRUDential Life Insurance Compa	Insurance	(4,795.00)	1061
2/3/2014	Karen Romanoff		(875.00)	1044
2/5/2014	Dwight School	other/school	(3,300.00)	1047
2/5/2014	Con Ed 525-8e	utilities	(168.49)	1048
2/5/2014	Con Ed 525-8d	utilities	(92.60)	1049
2/5/2014	VERIZONS 0131	utilities	(256.09)	1050
2/5/2014	Poland Springs	Food, Clothing, Hygiene	(69.78)	1051
2/5/2014	City of Rye	repairs and maintenance	(70.00)	1052
2/5/2014	Luis Lopez	repairs and maintenance	(600.00)	1053
2/5/2014	WILDLIFE CONSERVATION	entertainment	(189.00)	1054
2/5/2014	Comcast Cable	utilities	(51.12)	1055
2/5/2014	Century Link	utilities	(40.84)	1056
2/7/2014	Hsbc	Mortgage	(3,925.62)	Debit
2/7/2014	HSBC 76 mortgage 5733592	Mortgage	(10,927.69)	Debit
2/13/2014	Misc	Other/fee	(15.00)	Debit
2/14/2014	Karen Romanoff		(2,459.36)	1060
2/22/2014	New York Eye And Ear Infirmary	medical	(100.00)	1062
2/22/2014	HOLY Cross Electric	utilities	(480.12)	1063
2/22/2014	Dwight School	other/school	(3,300.00)	1064
2/22/2014	Art Finance Partners	other int exp	(3,460.00)	1065
2/27/2014	PUBLIC Storage	other storage	(1,271.16)	1057
2/27/2014	Karen Romanoff		(3,000.00)	1067
2/27/2014	Karen Romanoff	Auto	(862.50)	1068
2/27/2014	Karen romanoff		(1,503.10)	1069
2/27/2014	Karen Romanoff		(3,539.66)	1070
2/28/2014	Misc	Other/fee	(27.00)	Debit
Total Bank Account Disbursements			(45,379.13)	

Total Disbursements for the Month			(45,379.13)
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28/14 Robert Romanoff

Debtor

Case No. 13-40002

Reporting Period: 02/01/14-02/28/14

**BALANCE SHEET**

The Balance Sheet is to be completed on an accrual basis only. Pre-petition liabilities must be classified separately from post-petition obligations.

ASSETS	BOOK VALUE AT END OF CURRENT REPORTING MONTH	BOOK VALUE ON PETITION DATE OR SCHEDULED AMOUNT
<b>SCHEDULE A REAL PROPERTY</b>		
Primary Residence	1,950,000.00	1,700,000.00
Other Property (attach schedule)		
<b>TOTAL REAL PROPERTY ASSETS</b>	<b>1,950,000.00</b>	<b>1,700,000.00</b>
<b>SCHEDULE B PERSONAL PROPERTY</b>		
Cash on Hand	-	-
Bank Accounts	19,077.20	30,892.00
Security Deposits	8,400.00	33,600.00
Household Goods & Furnishings	25,000.00	25,000.00
Books, Pictures, Art	730,219.00	730,219.00
Wearing Apparel	63,592.00	63,592.00
Furs and Jewelry	10,000.00	10,000.00
Firearms & Sports Equipment	1,000.00	1,000.00
Insurance Policies	11,167.00	11,167.00
Annuities		
Education IRAs		
Retirement & Profit Sharing		
Stocks		
Partnerships & Joint Ventures		
Government & Corporate Bonds		
Accounts Receivable		
Alimony, maintenance, support or property settlements		
Other Liquidated Debts		
Equitable Interests in Schedule A property		
Contingent Interests		
Other Claims * see note below	-	-
Patents & Copyrights		
Licenses & Franchises		
Customer Lists		
Autos, Trucks & Other Vehicles	235,000.00	235,000.00
Boats & Motors		
Aircraft		
Office Equipment	-	-
Machinery, supplies, equipment used for business		
Inventory		
Animals		
Crops		
Farming Equipment		
Farm Supplies		
Other Personal Property (attach schedule)	587,883.15	507,496.00
<b>TOTAL PERSONAL PROPERTY</b>	<b>1,691,338.35</b>	<b>1,647,966.00</b>
<b>TOTAL ASSETS</b>	<b>3,641,338.35</b>	<b>3,347,966.00</b>



**Case No. 13-40002**

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### SUMMARY OF UNPAID POST-PETITION DEBTS

Number of Days Past Due

	Current	0-30	31-60	61-90	Over 91	Total
Mortgage						0
Rent						0
Secured Debt/Adequate Protection Payments						0
Professional Fees						0
Other Post-Petition debt <i>(list creditor)</i>						
<b>Total Post-petition Debts</b>	0	0	0	0	0	0

Explain how and when the Debtor intends to pay any past due post-petition debts.

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**POST-PETITION STATUS OF SECURED NOTES, LEASES PAYABLE  
AND ADEQUATE PROTECTION PAYMENTS**

NAME OF CREDITOR	SCHEDULED MONTHLY PAYMENT DUE	AMOUNT PAID DURING MONTH	TOTAL UNPAID POST- PETITION
no payments set up as of 02/28/14			
TOTAL PAYMENTS			

**INSTALLMENT PAYMENTS**

TYPE OF POLICY	CARRIER	PERIOD COVERED	PAYMENT AMOUNT & FREQUENCY
no installments set up as of 02/28/14			

In re Robert Romanoff

Case No. 13-40002

Debtor

Reporting Period:

02/01/14-02/28/14

### DEBTOR QUESTIONNAIRE

Must be completed each month. If the answer to any of the questions is "Yes", provide a detailed explanation of each item. Attach additional sheets if necessary.		Yes	No
1	Have any funds been disbursed from any account other than a debtor in possession account this reporting period?		X
2	Is the Debtor delinquent in the timely filing of any post-petition tax returns?		X
3	Are property insurance, automobile insurance, or other necessary insurance coverages expired or cancelled, or has the debtor received notice of expiration or cancellation of such policies?		X
4	Is the Debtor delinquent in paying any insurance premium payment?		X
5	Have any payments been made on pre-petition liabilities this reporting period?		X
6	Are any post petition State or Federal income taxes past due?		X
7	Are any post petition real estate taxes past due?		X
8	Are any other post petition taxes past due?		X
9	Have any pre-petition taxes been paid during this reporting period?		X
10	Are any amounts owed to post petition creditors delinquent?		X
11	Have any post petition loans been received by the Debtor from any party?		X
12	Is the Debtor delinquent in paying any U.S. Trustee fees?		X
13	Is the Debtor delinquent with any court ordered payments to attorneys or other professionals?		X



JPMorgan Chase Bank, N.A.  
P O Box 659754  
San Antonio, TX 78265 - 9754

February 01, 2014 through February 28, 2014

Account Number: [REDACTED]

#### CUSTOMER SERVICE INFORMATION

Web site: [www.Chase.com](http://www.Chase.com)  
Service Center: 1-877-425-8100  
Deaf and Hard of Hearing: 1-800-242-7383  
Para Espanol: 1-888-622-4273  
International Calls: 1-713-262-1679



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ROBERT S ROMANOFF DIP  
DEBTOR IN POSSESSION  
CASE #13-23897  
302A W 12TH ST # 118  
NEW YORK NY 10014-7906



00198030201000200022

#### CHECKING SUMMARY

Chase Total Business Checking

	INSTANCES	AMOUNT
<b>Beginning Balance</b>		<b>\$11,285.21</b>
Deposits and Additions	6	53,500.00
Checks Paid	23	- 31,732.58
Electronic Withdrawals	2	- 14,853.31
Fees and Other Withdrawals	3	- 42.00
<b>Ending Balance</b>	<b>34</b>	<b>\$18,157.32</b>

#### DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
02/04	Book Transfer Credit B/O: Lauren E. Levine And/OR Rye NY 10580-4112 Trn: 4477200035Es	\$8,500.00
02/07	Deposit 1285209180	3,000.00
02/13	Fedwire Credit Via: The Bank of New York Mellon/021000018 B/O: Robert Romanoff 302A W 12th St New York NY 10014-7906 Ref: Chase Nyc/Ctr/Bnf=Robert S Romanoff Dip Debtor IN New York, NY 100141947/Ac-000000005305 Rfb=O/B Bk of Nyc Bbi=/Bnf/5Xg022752 Imad: 0213B1Q8153C004767 Trn: 3094109044Ff	10,000.00
02/14	Deposit 1319840580	3,000.00
02/28	Fedwire Credit Via: The Bank of New York Mellon/021000018 B/O: Robert Romanoff 302A W 12th St New York NY 10014-7906 Ref: Chase Nyc/Ctr/Bnf=Robert S Romanoff Dip Debtor IN New York, NY 100141947/Ac-000000005305 Rfb=O/B Bk of Nyc Bbi=/Bnf/5Xg022752 Imad: 0228B1Q8154C004111 Trn: 2886409059Ff	20,000.00
02/28	Deposit 1301833997	9,000.00
<b>Total Deposits and Additions</b>		<b>\$53,500.00</b>



February 01, 2014 through February 28, 2014

Account Number: [REDACTED]

You were charged a monthly service fee of \$12.00 this period. You can avoid this fee in the future by maintaining a monthly minimum balance of \$1,500.00. Your monthly minimum balance was \$1,046.00.

### DAILY ENDING BALANCE

DATE	AMOUNT	DATE	AMOUNT
02/03	\$10,410.21	02/13	10,775.10
02/04	18,910.21	02/14	11,315.74
02/07	20,881.33	02/24	7,855.74
02/10	4,726.09	02/27	6,584.58
02/11	1,426.09	02/28	18,157.32
02/12	1,046.19		

### SERVICE CHARGE SUMMARY

TRANSACTIONS FOR SERVICE FEE CALCULATION		NUMBER OF TRANSACTIONS
Checks Paid / Debits		25
Deposits / Credits		6
Deposited Items		5
<b>Transaction Total</b>		<b>36</b>
SERVICE FEE CALCULATION		AMOUNT
Service Fee		\$12.00
Service Fee Credit		\$0.00
<b>Net Service Fee</b>		<b>\$12.00</b>
Excessive Transaction Fees (Above 200)		\$0.00
<b>Total Service Fees</b>		<b>\$12.00</b>





February 01, 2014 through February 28, 2014

Account Number: [REDACTED]

## CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
1042 ^		02/10	\$400.00
1044 * ^		02/03	875.00
1045 ^		02/07	430.23
1046 ^		02/07	598.65
1047 ^		02/11	3,300.00
1048 ^		02/10	168.49
1049 ^		02/10	92.60
1050 ^		02/13	256.09
1051 ^		02/12	69.78
1052 ^		02/12	70.00
1053 ^	02/10	02/10	600.00
1054 ^		02/12	189.00
1055 ^		02/12	51.12
1056 ^		02/10	40.84
1057 ^		02/27	1,271.16
1060 * ^		02/14	2,459.36
1061 ^		02/28	4,795.00
1064 * ^		02/28	3,300.00
1065 ^		02/24	3,460.00
1067 * ^		02/28	3,400.00
1068 ^		02/28	862.50
1069 ^		02/28	1,503.10
1070 ^		02/28	3,539.66

**Total Checks Paid** **\$31,732.58**

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

\* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

## ELECTRONIC WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
02/10	Mortgage Svc Ctr Mortgage 2029530652 Tel ID: 9207718402	\$10,927.69
02/10	Mortgage Svc Ctr Mortgage 2029530710 Tel ID: 9207718402	3,925.62
<b>Total Electronic Withdrawals</b>		<b>\$14,853.31</b>

## FEES AND OTHER WITHDRAWALS

DATE	DESCRIPTION	AMOUNT
02/13	Incoming Domestic Wire Fee	\$15.00
02/28	Incoming Domestic Wire Fee	15.00
02/28	Service Fee	12.00
<b>Total Fees &amp; Other Withdrawals</b>		<b>\$42.00</b>



JPMorgan Chase Bank, N.A.  
P O Box 659754  
San Antonio, TX 78265-9754

February 01, 2014 through February 28, 2014

Account Number: XXXXXXXXXX**CUSTOMER SERVICE INFORMATION**

Web site: [www.Chase.com](http://www.Chase.com)  
Service Center: 1-877-425-8100  
Deaf and Hard of Hearing: 1-800-242-7383  
Para Espanol: 1-888-822-4273  
International Calls: 1-713-262-1679



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ROBERT S ROMANOFF DIP  
DEBTOR IN POSSESSION  
CASE #13-23897  
302A W 12TH ST # 118  
NEW YORK NY 10014-7906

**CHECKING SUMMARY**

Chase Total Business Checking

	INSTANCES	AMOUNT
Beginning Balance		\$1,500.00
Ending Balance	0	\$1,500.00

There has been no activity on your account during this statement period. You may not receive a statement through the mail in the future if there is no activity on your account. You can always view your account activity and statement by logging on to your account through [chase.com](http://chase.com). If you have questions, please call us at the number on this statement.

**SERVICE CHARGE SUMMARY**

TRANSACTIONS FOR SERVICE FEE CALCULATION	NUMBER OF TRANSACTIONS
Checks Paid / Debits	0
Deposits / Credits	0
Deposited Items	0
Transaction Total	0





Deutsche Bank Alex. Brown  
a division of Deutsche Bank Securities Inc.  
Greenwich Office  
One Pickwick Plaza, 3rd Floor  
Greenwich, CT 06830  
(203) 869-5555, (800) 327-4626

Account Number: [REDACTED]

Statement Period: 02/01/2014 - 02/28/2014

ROBERT ROMANOFF  
302A W 12TH ST  
#118  
NEW YORK NY 10014-7906

Your Client Advisor:  
FINK / GERMANN  
(203) 869-5555

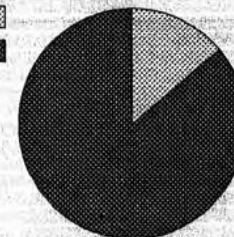
**Valuation at a Glance**

	This Period	Year-to-Date
<b>Beginning Account Value</b>	<b>\$617,882.29</b>	<b>\$551,002.00</b>
Net Cash Deposits and Withdrawals	-30,000.00	-30,000.00
<b>Adjusted Previous Account Value</b>	<b>\$587,882.29</b>	<b>\$521,002.00</b>
Dividends, Interest and Other Income	0.86	66,881.15
<b>Ending Account Value</b>	<b>\$587,883.15</b>	<b>\$587,883.15</b>
Estimated Annual Income	\$1.15	

**Asset Allocation**

	Prior Year-End	Last Period	This Period	% Allocation
USD Cash, Money Funds, and Bank Deposits <sup>1</sup>	45,861.00	112,741.29	82,742.15	14.07%
Alternative Investments	505,141.00	505,141.00	505,141.00	85.93%
<b>Account Total (Pie Chart)</b>	<b>\$551,002.00</b>	<b>\$617,882.29</b>	<b>\$587,883.15</b>	<b>100.00%</b>

**1 The Bank Deposits in your account are FDIC insured bank deposits.**  
FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits. See page 2 of this statement for important information regarding the Asset Allocation section.



Pie Chart allocation only includes products that are of positive value.

